



8. Accident Insurance Cover available for PMJDY account after 28-08-2018
- A) ₹ 1,00,000
B) ₹ 2,00,000
C) ₹ 2,50,000
D) ₹ 5,00,000
9. The percentage of a bank's total deposits that it needs to maintain as liquid cash is known as
- A) Cash Reserve Ratio
B) Statutory Liquidity Ratio
C) Marginal Standing Facility
D) Base Rate
10. Which of the following is factors of production ?
- A) Land
B) Labour
C) Capital
D) All of these

SECTION – B (10 Marks)

Answer **any two** of the following questions.

(2×2=4)

11. What are the two famous stock exchanges in India ?
12. Expand PPF and APY.
13. What is Asset Allocation ?
14. What are the types of investors according to 'Risk-Return Perception' ?

Answer the following Multiple Choice Questions.

(6×1=6)

15. In Investments, ELSS stands for
- A) Equity Linked Savings Scheme
B) Equity Liquid Saving Scheme
C) Exceptional Liquid and Safe Scheme
D) Enterprise Linked Social Security
16. When you invest in equity shares through IPO, which of these market are you dealing with ?
- A) Primary Market
B) Secondary Market
C) Tertiary Market
D) Commodity Market



17. Risk appetite generally _____ when the number of earning family members increases.
- A) Increases
 - B) Decreases
 - C) There is no correlation between risk appetite and number of earning family members
 - D) Does not change
18. Who facilitates capital raising activities for their clients which include corporations, government and institutions etc. ?
- A) Merchant Bankers
 - B) Brokers
 - C) Clearing House
 - D) Custodian
19. T-Bills are an example of
- A) Money Market Securities
 - B) Capital Market Securities
 - C) Conservative Market Securities
 - D) Aggressive Market Securities
20. Which of these is/are term(s) used in Technical Analysis ?
- A) RSI
 - B) ADX
 - C) Candle Stick
 - D) All of the above

SECTION – C (10 Marks)

Answer **any two** of the following questions.

(2x2=4)

21. What do you mean by Net Asset Value ? Write the formula to calculate NAV.
22. Expand AMFI and ETF.
23. What is Treynor Ratio ? Write the formula to calculate the Treynor Ratio.
24. Write any two sources of gathering client data.



Answer the following Multiple Choice Questions.

(6×1=6)

25. Name the participant in Mutual Fund who sets up a mutual fund.
- A) Promoters
B) Sponsor
C) Trustee
D) Fund Manager
26. This funds/scheme have a fixed maturity date. The units are issued at the time of the initial offer and redeemed only on maturity. Which scheme/fund are we referring to ?
- A) Open ended scheme
B) Closed ended scheme
C) Both of the above
D) None of the above
27. Name the participant in Mutual Fund who manages the funds by making investments in various types of securities.
- A) Promoters
B) Sponsor
C) Asset Management Company
D) Fund Manager
28. Which of the following investment avenue gives liquidity, capital appreciation, affordability, tax benefits and relatively risk free returns ?
- A) Real Estate
B) Gold Bonds
C) Mutual Funds
D) Fixed Deposits
29. If risk free return is 5%, and a scheme with standard deviation of 0.5 earned a return of 7%, its Sharpe Ratio would be
- A) 4.5
B) 4
C) 5
D) 5.5
30. Which regulations of SEBI are applicable for advisory services on investment ?
- A) SEBI Listing Obligations and Disclosure Requirements (SEBI LODR) Regulations, 2015
B) SEBI Issue of Capital and Disclosure Requirements (SEBI ICDR) Regulations, 2009
C) SEBI Investment Advisers Regulations, 2013
D) None of these.